



Policy TITLE	Management of Records
Policy NO.	1-104
REVISION NO	0.3
Program	Administration

Committee Approved Date	09/01/2023
Policy Committee Reviewed Date	NA
TNI Board of Directors Endorsed Date	9/13/2023
SOP Effective Date	09/01/2023

I. SCOPE AND APPLICABILITY

This policy affirms the role of TNI's Secretary in managing the TNI records, including their retention, storage, and disposal. This policy relates to records generated in the course of conducting TNI operations and applies to all TNI Programs.

II. POLICY STATEMENT

TNI records, whether in hard copy, electronic, oral, or visual media, shall be maintained in a manner that ensures their permanence and accessibility, and that facilitates compliance with local, state, and federal laws. The Secretary of TNI shall manage the custody of all TNI records, which includes storage and disposal.

III. POLICY IMPLEMENTATION

To ensure that all TNI programs comply with this policy, the Secretary is authorized to create or guide the creation of procedures. The Secretary of TNI shall:

1. Establish a retention schedule for all records.
2. Manage locations, whether virtual or physical, for the safe storage of records.
3. Develop procedures for discarding records that have exceeded their retention period.
4. Devise procedures to process requests for records and for access to them.
5. Monitor compliance of TNI Programs with this policy.
6. Manage procedures to implement this policy to ensure they comply with applicable laws.
7. Authorize deviations from established retention periods and disposal procedures required to support litigations, claims, or subpoenas.

IV. COMMITMENT TO ACCESSIBILITY

TNI is committed to conducting operations in an open, inclusive, and transparent manner. Accordingly, access to TNI records shall not be denied to any requester that complies with procedures authorized by this policy, unless access to the information is restricted or limited by

existing laws and regulations, or the information has been designated confidential business information by the TNI Board of Directors.

V. Related Documents

- SOP 1-104 Control of TNI Documents
- SOP 1-116 Development and Approval of TNI Policies and SOPs
- SOP 1-122 Advocacy Document Control
- SOP 1-118 Developing Position Statements
- SOP 1-105 Process for Creating Guidance
- SOP 2-100 Procedures for Standards Development

Policy Approved Changes

Revision No.	Effective Date	Description of Change
0	11/14/2017	New document
0.1	3/16/2012	Updated format.
0.2	4/20/2018	Editorial and minor clarifications. Added section V.
0.3	09/01/2023	Updated Section V; clarified Program to Administration only.