

SOP TITLE	<b>Dispute Resolution Process</b>	
SOP NO.	3-104	
REVISION NO	0.3	
PROGRAM	NELAP	

# **SOP Approval Dates**

	Initial Approval	Revision Date	Review Date
Committee: NELAP Accreditation Council	1/25/2008	4/21/2025	
Program	NA	NA	
Policy Committee Review	3/17/2008	5/2/2025	
TNI Board of Directors Endorsement	5/20/2008	NA (5/14/2025)	
SOP Effective Date	1/25/2008	4/21/2025	

#### Dispute Resolution

### 1.0 Purpose and Applicability

- 1.1 This Standard Operating Procedure (SOP) describes the process for resolving disputes among Accreditation Bodies, the NELAP Evaluation Teams, and the NELAP Accreditation Council (AC).
- 1.2 This SOP applies to disputes raised by Accreditation Bodies or the NELAP Evaluation Teams.
- 1.3 This SOP does not apply to disputes between a laboratory and its Accreditation Body (AB) regarding accreditation. Such disputes are to be handled through the appropriate process established by applicable state laws and regulations.

## 2.0 Summary

This SOP provides an avenue for resolution of disputes raised by Accreditation Bodies (ABs) and the Evaluation Teams involved in the accreditation body recognition process. The disputing party is to first seek resolution with the NELAP AC. If mutual resolution is not achieved, then a Review Panel is convened to study the matter and recommend the resolution to be imposed by the NELAP AC.

#### 3.0 Related Documents

2016 TNI Standard, Volume 2 NELAP Evaluation SOP 3-102

### 4.0 Definitions

Term	Definition	
Deny	To refuse to grant recognition to a body that has applied to become a recognized TNI NELAP AB.	
Disputing Party	Accreditation Bodies (ABs) and the Evaluation Team involved in the accreditation body recognition process.	
NELAP	The NELAC Institute National Environmental Laboratory Accreditation Program. The NELAP Accreditation Council consists of one individual and one alternate from each recognized Accreditation Body, appointed by the state.	
NELAP Recognition	The determination by the TNI NELAP AC that an AB meets the requirements of NELAP and is authorized to grant NELAP accreditation to laboratories.	
Revoke	To remove an AB's TNI NELAP recognition.	
Review Panel	A temporary body appointed for the express purpose of resolving disputes as described by this SOP.	

### 5.0 Procedure

### 5.1 Reconsideration Requests

5.1.1 The AB or the evaluation team may request reconsideration, in writing, within 20 days of notification of the NELAP Board's decision. The requestor makes its case and may present additional information when submitting its request to the NELAP AC Chair or

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designee.

- 5.1.2 The Chair or designee responds, in writing, to the request within 20 days.
- 5.2 Request for Review of Denial of Reconsideration
  - 5.2.1 If the reconsideration request is denied and the disputing party does not accept the decision, it is then a dispute.
  - 5.2.2 The disputing party then appeals to the NELAP AC for resolution of the dispute.
- 5.3 Resolving Disputes
  - 5.3.1 Within 30 calendar days of official notification of the NELAP AC's action on a reconsideration request for NELAP recognition, the disputing party must notify the NELAP AC of its decision to dispute the decision on the reconsideration request. The NELAP AC then informs the Executive Committee of the TNI Board of Directors for the need to name a Review Panel.
  - 5.3.2 The Review Panel is composed of three members chosen from each of the three stakeholder groups of TNI: ABs and other governmental agencies that operate environmental accreditation programs, Accredited Laboratories, and All Others. The three members must be a TNI Board member who serves as the chairperson, a NELAP AC member and a TNI member. The Executive Committee of the TNI Board names the membership for each task. If any Review Panel member is not free of any relationship that would bias their review of the case, that Review Panel member must be replaced by an alternate, named by the Executive Committee of the TNI Board, for the Panel's deliberations.
  - 5.3.3 The Review Panel carries out an independent review of all relevant parts of the record.
  - 5.3.4 The Review Panel conducts interviews with the disputing party and the NELAP AC and may conduct interviews with the AB, the NELAP Evaluation Team member(s), or other individuals deemed appropriate by the Review Panel.
  - 5.3.5 If the disputing body so desires, an opportunity is granted for both the NELAP AC and the AB or the Evaluation Team or lead evaluator to meet jointly with the Review Panel.
  - 5.3.6 The Review Panel completes its review and renders a final recommendation to the NELAP AC and all involved parties within 90 calendar days following receipt of the notice of Request for Review. This time frame may be extended by mutual agreement of all parties up to a maximum of 60 additional calendar days.
  - 5.3.7 The ultimate decision to grant, maintain, deny or revoke NELAP recognition remains with the NELAP AC. Within 30 calendar days of receiving the Review Panel's recommendation, the NELAP AC must notify the disputing body of its decision regarding NELAP recognition
  - 5.3.8 Disputing bodies are limited to one exercise of this procedure for each application cycle.

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5.3.9 The status existing prior to the decision remains in effect pending resolution of the dispute.

### 6.0 Records Management

- 6.1 The Chair of the NELAP AC and the Review Panel chairperson must maintain all correspondence for future reference. Upon completion of the Review Panel's effort, its Chair and the NELAP AC must turn over their records to the TNI Program Administrator assigned to the NELAP AC for future reference.
- Records of the original dispute as well as the final resolution must be retained in accordance with the requirements of the Management of TNI Documents SOP 1-104.

#### 7.0 References

SOP 1-104 Control of TNI Documents

# 8.0 SOP Approved Changes

Revision No.	Effective Date	Description of Change
0.1	3/12/2010	Change to new SOP format
0.2	6/3/2019	Change NELAP Board to NELAP Accreditation Council (5-year review) and eliminate requirement to post dispute on the website (§6.2)
0.3	4/21/2025	Change shall to must and use present tense consistently. Reformatted definitions to be consistent with SOP 1-101.

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